



CHANGE OF REGISTRATION FORM

Return this form with a new account application to transfer ownership of your Emerald Mutual Funds account(s). Please note that the shares will remain in the same fund and a new account number will be assigned. If you wish to transfer the shares to a different fund, please note that this exchange constitutes a sale and purchase for federal tax purposes.

This form is for Individual Accounts, Joint Accounts, Trust Accounts and Uniform Gifts/Transfer to Minors Act (UGMA/UTMA). Do not use this form for IRA Accounts. If transferring shares from a corporation, trust, partnership, retirement plan, or as a result of the death of an owner, additional documents may be required. For further instructions, call an Emerald Mutual Funds representative at 1-855-828-9909.

SECTION 1: Account Information

Name of Account Owner (Last, First, Middle Initial)

Owner's Social Security Number

Date of Birth (MM/DD/YY)

Joint Owner's Name (Last, First, Middle Initial) (if applicable)

Joint Owner's Social Security Number

Date of Birth (MM/DD/YY)

Address of Residence (Required) - P.O. Box not accepted

City, State, Zip Code

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Day Phone

Evening Phone

E-mail Address

SECTION 2: Account Number and Amount of Transfer

Account Number:

Check one:

All Dollars \$ Shares #

Account Number:

Check one:

All Dollars \$ Shares #

Account Number:

Check one:

All Dollars \$ Shares #

SECTION 3: New Account Information

Please attach a new Account Application

Name of Account Owner (Last, First, Middle Initial)

Address of Residence (Required) - P.O. Box not accepted

City, State, Zip Code

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Day Phone

Evening Phone

SECTION 4: Signature(s)

A **Medallion Signature Guarantee** is required when distributing money to an address/bank other than the address/bank of record or making the payment to a party other than the owner of record.

To protect yourself against fraud, your signature(s) must be guaranteed ("**Medallion Signature Guarantee**") by any "eligible" guarantor. Signatures notarized by a Notary Public are not acceptable.

A **Medallion Signature Guarantee** is required for adding or changing bank information in addition to authorizing wire transfers on this account.

Eligible guarantor's: Commercial Banks
Credit Unions
Member Firms of a domestic stock exchange
National Securities Exchange & Savings (STAMP SEMP, NYSE-MSP participation)
Savings Associations
Trust Companies

Medallion Signature Guarantee Stamp (<i>ID Required</i>)	Bank or Dealer Firm
Officer's Title	Officer's Signature
	Date (<i>MM/DD/YY</i>)

[STAMP]

Please mail completed form to:

Mailing Address
Emerald Mutual Funds
PO. Box 8556
Denver, CO 80201

Overnight Address
Emerald Mutual Funds
1290 Broadway, Suite 1100
Denver, CO 80203

If you have any questions, please contact an Investor Service Representative at 1-855-828-9909 or visit www.emeraldmutualfunds.com.